PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 \$€\type a plus sign (+) inside this box → [+] U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/713,650 Application Number TRANSMITTAL Filing Date November 14, 2003 **FORM** First Named Inventor **McGROGAN** (to be used for all correspondence after initial filing) 2186 Group Art Unit Not Yet Assigned **Examiner Name** Total Number of Pages in This Submission **Attorney Docket Number** 0515805.0101 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Declaration of Mailing by Express Mail Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter **Provisional Application** Power of Attorney, Revocation Combined Declaration and Power of Change of Correspondence Address (please identify below): Attorney **Return Receipt Postcard** Terminal Disclaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Stephen C. Glazier (Registration No. 31,36) Kirkpatrick & Lockhart LLP Individual name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: Typed or printed name Sadje-Bone Signature Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: McGROGAN, Stephen K.

Group Art Unit: 2186

Application No.: 10/713,650

Examiner: Not yet assigned

Filed: November 14, 2003

Atty Dkt. No. 0515805.0101

Title: SEGMENTED GLOBAL AREA DATABASE

INFORMATION DISCLOSURE STATEMENT

The Honorable Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, VA 22313

Sir:

Attached is Form PTO-1449 listing the enclosed documents. Citation of a document does not necessarily constitute an admission that the document is prior art to the present application.

This Information Disclosure Statement is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to that effect is earnestly solicited, along with additional time under Rule 97(f), to enable Applicant to comply fully.

Should a first action on the merits have been issued on the same day or before this IDS is filed, please accept this IDS under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 50-1721, under the above Atty Dkt. No., and proceed to consider this IDS.

McGROGAN – Appln. 10/713,650 Page 2 of 2

Consideration of the foregoing and the return of a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per MPEP §609, along with an early action on the merits of this application are earnestly solicited.

Respectfully submitted,

Stephen C. Glazier

(202) 778-9045

Date:

Kirkpatrick & Lockhart LLP 1800 Massachusetts Ave., N.W. 2nd Floor Washington, DC 20036 (202) 778-9000

Fax: (202) 778-9100

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

stitute for form 1449A/PTO Complete if Known 10/713,650 **Application Number** INFORMATION DISCLOSURE Filing Date November 14, 2003 STATEMENT BY APPLICANT First Named Inventor **McGROGAN** 2186 Art Unit (use as many sheets as necessary) **Examiner Name** Not yet assigned 0515805.0101 Sheet of Attorney Docket Number

	_		U.S. PATENT	OCUMENTS	·
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevar
Initials *	No.1	Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
	AA	US-4,853,842	08/01/1989	Thatte et al.	
	AB	US- 4,972,367	11/20/1990	Burke	
	AC	US- 5,008,786	04/16/1991	Thatte	
	AD	US- 5,010,478	04/23/1991	Deran	
	AE	US- 5,043,885	08/27/1991	Robinson	
	AF	US- 5,136,707	08/04/1992	Block et al.	
	AG	US- 5,202,985	04/13/1993	Goyal	
	AH	US- 5,237,661	08/17/1993	Kawamura et al.	
	Al	US- 5,276,835	01/04/1994	Mohan et al.	
	AJ	US- 5,283,884	02/01/1994	Menon et al.	
	AK	US- 5,283,894	02/01/1994	Deran	
	AL	US- 5,305,389	04/19/1994	Palmer	
	AM	US- 5,389,336	03/14/1995	Tantry et al.	
	AN	US- 5,404,477	04/04/1995	Jippo	
	AO	US- 5,408,653	04/18/1995	Josten et al.	
	AP	US- 5,412,805	05/02/1995	Jordan II et al.	
	AQ	US- 5,428,761	06/27/1995	Herliy et al.	,
	AR	US- 5,434,992	07/18/1995	Mattson	
	AS	US- 5,437,027	07/25/1995	Bannon et al.	
	AT	US- 5,465,352	11/07/1995	Nakazaw et al.	

	FOREIGN PATENT DOCUMENTS							
F	Foreign Patent Document			Name of Patentee or	Pages, Columns, Lines, Where Relevant			
Examiner Initials*	Cite No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶		
	1							

Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Substitute for form 1449A/PTO				Complete if Known			
					Application Number	10/713,650		
	INFO	RMATION	DIS	CLOSURE	Filing Date	November 14, 2003		
	STATEMENT BY APPLICANT		First Named Inventor	McGROGAN				
					Art Unit	2186		
	(use as many sheets as necessary)				Examiner Name	Not yet assigned		
$\overline{}$	Sheet	2	of	5	Attorney Docket Number	0515805.0101		

			U.S. PATENT	OCUMENTS	
Examiner Initials *	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant
	AU	US-5,485,609	01/16/1996	Vitter et al.	Figures Appear
	AV	US- 5,493,668	02/20/1996	Elko et al.	
	AW	US- 5,499,367	03/12/1996	Bamford et al.	
	AX	US- 5,506,984	04/09/1996	Miller	
	AY	US- 5,550,971	08/27/1996	Brunner et al.	
	AZ	US- 5,561,795	10/01/1996	Sarkar	
	BA	US- 5,574,902	11/12/1996	Josten et al.	
	BB	US- 5,608,904	03/04/1997	Chaudhuri et al.	
	BÇ	US- 5,611,076	03/11/1997	Durflinger et al.	
	BD	US- 5,615,360	03/25/1997	Bezek et al.	
	BE	US- 5,636,350	06/03/1997	Eick et al.	
	BF	US- 5,649,139	07/15/1997	Weinreb et al.	
	BG	US- 5,668,987	09/16/1997	Schneider	
	ВН	US- 5,680,573	10/21/1997	Rubin et al.	
	BI	US- 5,682,527	10/28/1997	Cooper et al.	
	BJ	US- 5,701,461	12/23/1997	Dalal et al.	
	BK	US- 5,706,506	01/06/1998	Jensen et al.	
	BL	US- 5,727,203	03/10/1998	Hapner et al.	
	ВМ	US- 5,745,904	04/28/1998	King et al.	
	BN	US- 5,758,149	05/16/1998	Bierma et al.	

		FOREIGN PA	TENT DOCU	MENTS		
Examiner	Foreign Patent Document			Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	Cite No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Applicant of Cited Passage	Passages or Relevant Figures Appear	T®
						ļ
	-					
· -						
	 					

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

bstitute for form 1449A/PTO Complete if Known Application Number 10/713,650 INFORMATION DISCLOSURE Filing Date November 14, 2003 STATEMENT BY APPLICANT First Named Inventor **McGROGAN** Art Unit 2186 (use as many sheets as necessary) **Examiner Name** Not yet assigned 0515805.0101 Sheet of Attorney Docket Number

			U.S. PATENT [DOCUMENTS	
Examiner	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
Initials *	No.1	Number - Kind Code ² (if known)	WIN-DD-1111		Figures Appear
	ВО	US-5,787,471	07/28/1998	Inoue et al.	
	BP	US- 5,805,809	09/08/1998	Singh et al.	
	BQ	US- 5,812,996	09/22/1998	Rubin et al.	
	BR	US- 5,822,749	10/13/1998	Agarwal	
	BS	US- 5,826,253	10/20/1998	Bredenberg	
	BT	US- 5,832,521	11/03/1998	Klots et al.	
	BU	US- 5,835,908	11/10/1998	Bennett et al.	
	BV	US- 5,835,959	11/10/1998	McCool et al.	
	BW	US- 5,864,849	01/26/1999	Bohannon et al.	
	вх	US- 5,897,634	04/27/1999	Attaluri et al.	
	BY	US- 5,915,249	06/22/1999	Spencer	
	BZ	US- 5,933,593	08/03/1999	Arun et al.	
	CA	US- 5,963,937	10/05/1999	Yamasaki et al.	
	CB	US- 6,009,271	12/28/1999	Whatley	
	СС	US- 6,014,673	01/11/2000	Davis et al.	
	CD	US- 6,070,165	05/30/2000	Whitmore	
	CE	US- 6,081,875	06/27/2000	Clifton et al.	
	CF	US- 6,173,292	01/09/2001	Barber et al.	
	CG	US- 6,314,417	11/06/2001	Bennett et al.	
	СН	US- 6,321,235	11/20/2001	Bird	MIN-100

	FOREIGN PATENT DOCUMENTS							
F	Foreign Patent Document			Name of Patentee or	Pages, Columns, Lines, Where Relevant			
Examiner Initials*	Cite No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Applicant of Cited Passages or Relevant	T ⁶			
	· 							
						-		

Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO Complete if Known **Application Number** 10/713,650 INFORMATION DISCLOSURE Filing Date November 14, 2003 STATEMENT BY APPLICANT First Named Inventor **McGROGAN** Art Unit 2186 (use as many sheets as necessary) **Examiner Name** Not yet assigned Sheet 5 0515805.0101 of Attorney Docket Number

	T	Document Number	U.S. PATENT	Name of Patentee or Applicant of	
Examiner Initials *	Cite No.1	Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
_	CI	US-6,330,565	12/11/2001	Nesbitt	
	CJ	US- 6,446,062	09/03/2002	Levine et al.	
	СК	US- 6,507.847	01/14/2003	Fleischman	
	CL	US- 6,591,266	07/08/2003	Li et al.	
	СМ	US- 6,598,119	07/22/2003	Becker et al.	
	CN	US- 6,609,126	08/19/2003	Smith et al.	
	co	US- 20030229650	12/11/2003	Olstad et al.	
	CP	US- 20030221068	11/27/2003	Tsuji et al.	
	CQ	US- 20030204504	10/30/2003	Stuy et al.	
	CR	US- 20030187851	10/02/2003	Fay et al.	
	cs	US- 20030120638	06/26/2003	Park et al.	
	СТ	US- 20020087500	07/04/2002	Berkowitz et al.	
	CU	US- 20020026448	02/28/2003	Bird et al.	
	CV	US- 20010049685	12/06/2001	Carey et al.	
	cw	US- 4,805,134	02/14/1989	Calo et al.	
	сх	US- 5,426,747	06/20/1995	Weinreb et al.	
	CY	US- 5,455,942	10/03/1995	Mohan et al.	
	CZ	US- 5,560,006	09/24/1996	Layden et al.	
		US-			
		US-			

	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant				
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶			
	_								

Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO				Complete if Known			
			DIO		Application Number	10/713,650	
INFORMATION DISCLOSURE					Filing Date	November 14, 2003	
STATEMENT BY APPLICANT				PPLICANT	First Named Inventor	McGrogan	
					Group Art Unit	2186	
(use as many sheets as necessary)			necessary)	Examiner Name	Not yet assigned		
	Sheet	5	of	5	Attorney Docket Number	0515805.0101	フ

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	PA	KINSLEY, K.C. and HUGHES, C.E., Analysis of a Virtual Memory Model for Maintaining Database Views, IEEE Transactions on Software Engineering, May 1992, pgs. 402-409, Volume 18, Issue 5	
<u>.</u>	PB	BIRRELL, A., JONES, M. and WOBBER, E., A Simple and Efficient Implementation of a Small Database, ACM Symposium on Operating Systems Principles archive, 1987, pgs. 149-154	
	PC	TRAIGER, IRVING L., Virtual Memory Management for Database Systems, ACM SIGOPS Operating Systems Review archive, 1982, pgs. 26-48, Volume 16, Issue 4, ACM Press, New York, NY	
	PD	JI, MINWEN, Affinity-based Management of Main Memory Database Clusters, ACM Transactions on Internet Technology (TOIT) archive, 2002, pgs. 307-339, Volume 2, Issue 4	
	PE	DIONN, IronEye Cache - Default branch, Freshmeat.net, May 30, 2003 12:08PST	
	PF	HOVERD, TIM, Livestore - Default branch, Freshmeat.net, October 23, 2002 10:22 PST	
	PG	No author listed, twz1jdbcForMysql - Default branch, Freshmeat.net, August 1, 1998 22:04 PST	
	PH.	DARLING, AARON, mpiBLAST - Default branch, Freshmeat.net, February 11, 2003 19:55 PST	

Examiner	Date	
Signature	Considered	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND TO Commissioner for Patents P.O. Roy 1450. Alexandria VA 22313-FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.